

Audit and Governance Committee – work plan

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

Theme	Item	Lead officers	Scope
14 October 2024			
Governance	Update on the constitution review	<u>CYC</u> <u>Bryn Roberts</u>	Extraordinary meeting to consider the Constitutional Changes to Appendix 3, Council Procedure Rules.
27 November 2024			
Finance	Treasury Management Monitor 2	<u>CYC</u> <u>Debbie Mitchell</u>	
Finance	No Purchase Order No Pay	<u>CYC</u> <u>Debbie Mitchell / Helen Malam</u>	
Governance	Independent Person recruitment update	<u>CYC</u> <u>Lindsay Tomlinson</u>	
Veritau (internal audit / counter fraud)	Internal Audit Progress Report	<u>Veritau</u> <u>Max Thomas / Richard Smith</u>	
Veritau (internal audit / counter fraud)	Audit Committee Self-Assessment	<u>Veritau</u> <u>Max Thomas / Richard Smith</u>	To share the results of the self-assessment undertaken.
External Audit	External Audit Plan (Audit Strategy Memorandum) 2023/24	<u>Mazars</u> <u>Mark Outterside</u>	
29 January 2025			
Risk	Key Corporate Risks monitor 3	<u>CYC</u> <u>Helen Malam</u>	Update on Key Corporate Risks (KCRs).
Finance	Final Statement of Accounts 2023/24	<u>CYC</u>	

		<u>Debbie Mitchell / Helen Malam</u>	
Finance	Treasury Management Monitor 3	<u>CYC</u> <u>Debbie Mitchell</u>	
Finance	Treasury Management Strategy	<u>CYC</u> <u>Debbie Mitchell</u>	
Governance	Corporate Governance report	<u>CYC</u> <u>Lorraine Lunt</u>	To provide Members with an update on corporate governance including issues. To also include data on repeated FOI/EIR requests and an update on the covert surveillance policy.
Veritau (internal audit / counter fraud)	Internal Audit Workplan Consultation	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Internal Audit & Counter Fraud Progress Report	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
External Audit	Audit Completion Report 2023/24	<u>Mazars</u> <u>Mark Outterside</u>	
26 March 2025			
Veritau (internal audit / counter fraud)	Internal Audit Work Programme	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Counter Fraud Risk Assessment and Workplan	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Risk	Key Corporate Risks monitor 4	<u>CYC</u> <u>Helen Malam</u>	Update on Key Corporate Risks (KCRs).
May 2025			
Veritau (internal audit / counter fraud)	Annual Report of the Head of Internal Audit	<u>Veritau</u> <u>Max Thomas/ Richard Smith</u>	
Veritau (internal audit / counter fraud)	Annual Counter Fraud Report	<u>Veritau</u>	

Upcoming items:

- Councillor Code of Conduct
- York Open Data
- Community Governance Review update – Lindsay Tomlinson / Emma Calvert

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